

Barris for Congress Campaign

Evergreen, CO 80439
Barris4Congress@gmail.com
Tel No:

June 26, 2018

The Clerk, US House of Representatives
Legislative Resource Center (attn: Financial Disclosure)
135 Cannon House Office Building
Washington, DC 20515-6612

✓
cc

LEGISLATIVE RESOURCE CENTER
18 JUL -5 PM 1:24

JUN 26 2018

Dear Sir or Madam:

I am a candidate for the US House of Representatives for Congressional District 2 in Colorado, running for the Libertarian Party.

I have been trying to call your helpline for the last two days. No one answers and the voicemail box is usually full, although I did leave one message, to which no one has responded. I have questions about my filing which I have been unable to ask due to your failure to respond to my phone calls. Since my filing date is rapidly approaching, and I will shortly be travelling, I am sending you this letter instead.

1. I have received two mailings from you. The first, which was undated, claimed a filing deadline of 6/5/2018 and included a username for online reporting of RogBar945. (A copy of this is enclosed.) I am not sure of the arrival date of this mailing, but I am highly confident that it arrived only shortly before or after 6/5/2018. In addition, this filing date is not in accordance with my legal obligation to file only within 30 days of my campaign spending at least \$5,000, which threshold I hit on June 1, 2018. I am therefore disregarding this mailing which I assume was sent in error.
2. I have today filed the bulk of my financial disclosure electronically under the username of RogBar399, which is the username assigned to me in the second mailing that I have received from you. (A copy of this second username assignment is also enclosed.) I have included a copy of my electronic filing with this letter.
3. My understanding – although the instructions are far from clear – is that I have to disclose investments from 2017 that generated at least \$200 of income. In 2017, I held many CDs and municipal bond investments which met this threshold. Reporting each of these electronically would be burdensome and pointless, since these investments have already matured and they are of the type extremely unlikely to create a conflict of interest. Therefore, in lieu of reporting these electronically, I am providing in this letter a tax statement from my broker showing all of these investments.

If you have any questions or comments about this letter, or my filing, then please contact me as indicated above.

Sincerely yours,

Roger Barris

ROGER D BARRIS TTEE

Account No.

Taxpayer No.
XXX-XX

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2017 TAX REPORTING STATEMENT

2017 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
INTEREST					
CD APPLE BK FOR SVGS NEW YORK, NY 01.0009% JUN 30 2017		06/30/17	Interest	1,246.58	
CD FIRST NIAGARA BANK NA BUFFALO, NY 01.0009% JUL 10 2017		01/09/17 07/10/17	Interest Interest	1,260.27 1,253.42	
			Security Subtotal	2,513.69	
CD FIRST NIAGARA BANK NA BUFFALO, NY 01.3509% JAN 08 2018		01/09/17 07/10/17	Interest Interest	1,701.37 1,673.63	
			Security Subtotal	3,375.00	
CD GOLDMAN SACHS BK USA NEW YORK, NY 01.0509% JUL 06 2017		01/06/17 07/06/17	Interest Interest	132.33 130.17	
			Security Subtotal	262.50	
CD GOLDMAN SACHS BK USA NEW YORK, NY 01.3509% JAN 08 2018		01/06/17 07/06/17	Interest Interest	2,552.05 2,510.45	
			Security Subtotal	5,062.50	
CD COMPASS BANK BIRMINGHAM, AL 01.3509% JAN 02 2018		01/03/17 07/03/17	Interest Interest	2,552.05 2,510.45	
			Security Subtotal	5,062.50	
CD SANTANDER BK WILMINGTON, DE 00.8009% JAN 06 2017		01/06/17	Interest	200.55	

ROGER D BARRIS TTEE

2017 TAX REPORTING STATEMENT

2017 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
CD BANK OF CHINA NEW YORK, NY 00.750% MAY 26 2017		05/26/17	Interest	119.18	
CD STATE BK INDIA NEW YORK, NY 01.000% DEC 12 2017		12/12/17	Interest	2,470.00	
CD BANK OF INDIA NY NEW YORK, NY 01.050% DEC 19 2017		12/19/17	Interest	2,593.50	
CD CENTRAL NATIONAL BANK WACO, TX 01.000% DEC 19 2017		12/19/17	Interest	590.00	
CD BEAL BANK SSB PLANO, TX 01.000% DEC 20 2017		12/20/17	Interest	2,463.23	
CD WELLS FARGO BANK NA SIOUX FALLS, SD 01.200% JUN 18 2018		01/17/17 02/17/17 03/17/17 04/17/17 05/17/17 06/19/17 07/17/17 08/17/17 09/18/17 10/17/17 11/17/17 12/18/17	Interest Interest Interest Interest Interest Interest Interest Interest Interest Interest Interest Interest Interest	253.78 253.78 229.22 253.78 245.59 253.78 245.59 253.78 253.78 245.59 253.78 245.59	
			Security Subtotal	2,988.04	
CD ALLY BANK MIDVALE, UT 01.000% JUN 18 2018		06/18/17 12/18/17	Interest Interest	1,236.60 1,243.40	
			Security Subtotal	2,480.00	

ROGER D BARRIS TTEE

2017 TAX REPORTING STATEMENT

2017 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
CD BMW BK OF NORTH AMER SALT LAKE CITY, UT 01.0009% JUN 18 2018		06/19/17 12/18/17	Interest Interest	1,236.60 1,243.40	
			Security Subtotal	2,480.00	
CD COMENITY BANK WILMINGTON, DE 01.3509% APR 19 2018		08/21/17 09/19/17 10/28/17 11/20/17 12/19/17	Interest Interest Interest Interest Interest	687.95 687.95 665.75 687.95 665.75	
			Security Subtotal	3,395.35	
ML BANK DEPOSIT PROGRAM		01/31/17 02/28/17 03/31/17 04/28/17 05/31/17 06/30/17 07/31/17 08/31/17 09/28/17 10/31/17 11/30/17 12/29/17	Bank Interest Bank Interest Bank Interest Bank Interest Bank Interest Bank Interest Bank Interest Bank Interest Bank Interest Bank Interest Bank Interest Bank Interest	15.33 7.96 6.41 3.89 4.10 4.04 207.51 164.30 107.36 159.74 166.67 390.52	
			Security Subtotal	1,237.83	

TOTAL INTEREST ACTIVITY

38,540.45

TOTAL INTEREST (LINE 1 1099-INT)

38,540.45

TOTAL FEDERAL INCOME TAX WITHHELD (INCLUDED IN LINE 4 1099-INT)

0.00

ROGER D BARRIS TTEE

2017 TAX REPORTING STATEMENT
2017 REPORTABLE TAX-EXEMPT INCOME

Security Description	CUSIP	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
HOUSTON TEX HGHR ED FIN CRP RV RICE B OID PRF17 JUN07 04.500%NOV15 2037	442378CC7		05/15/17 11/15/17 12/31/17	Interest Interest Annual Bond Premium	562.50 562.50 (1,040.71)	0.00 0.00 0.00	
Security Subtotal					84.29	0.00	
MIAMI-DADE CNTY FL SCH BRD COP SER A NPFG PRF17 MAY07 05.000%MAY01 2032	59333MRV3		05/01/17 12/31/17	Interest Annual Bond Premium	7,500.00 (6,350.65)	0.00 0.00	
Security Subtotal					1,149.35	0.00	
SERRONA-NORCO CALIF UNI SCH DIST LT A AGM PRF17 JUN07 04.750%AUG01 2023	219764JMO		02/01/17 08/01/17 12/31/17	Interest Interest Annual Bond Premium	831.25 831.25 (1,574.30)	0.00 0.00 0.00	
Security Subtotal					88.20	0.00	
EL PASO TEX INDPT SCH DIST SCH BLDG PRF17 JUN07 05.000%AUG15 2029	283767SW7		02/15/17 08/15/17 12/31/17	Interest Interest Annual Bond Premium	625.00 625.00 (1,148.48)	0.00 0.00 0.00	
Security Subtotal					101.52	0.00	
VALLECITOS WTR DT WTR-WWTR COP A AGM PRF17 JUN05 05.000%JUL01 2035	919185AW8		01/03/17 07/03/17 12/31/17	Interest Interest Annual Bond Premium	375.00 375.00 (685.92)	0.00 0.00 0.00	
Security Subtotal					64.06	0.00	
NY ST EFG ST CLN-DRINKG WTR RV REVOLVING D PRF17 JUL07 05.000%SEP15 2032	64986AWR8		03/15/17 09/15/17 12/31/17	Interest Interest Annual Bond Premium	625.00 625.00 (1,200.87)	0.00 0.00 0.00	
Security Subtotal					48.13	0.00	
NUTLEY NJ SCH DIST SCH GTD OID NPFG PRF17 JUL07 04.500%JUL15 2029	670607GT1		01/17/17 07/17/17 12/31/17	Interest Interest Annual Bond Premium	562.50 562.50 (1,071.85)	0.00 0.00 0.00	
Security Subtotal					53.15	0.00	
READING PA AREA WTR AUTH WTR REV AGM PRF17 JUL07 05.000%DEC01 2023	755562CB9		06/01/17 12/31/17	Interest Annual Bond Premium	500.00 (479.60)	0.00 0.00	
Security Subtotal					20.40	0.00	

ROGER D BARRIS TTEE

2017 TAX REPORTING STATEMENT
2017 REPORTABLE TAX-EXEMPT INCOME

Security Description	CUSIP	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
ROSEVILLE CA WTR UTL REV COP NPFPG PRF17 NOV07 05.000%DEC01 2020	777876BC6		06/01/17 12/01/17 12/31/17	Interest Interest Annual Bond Premium	1,750.00 1,750.00 (3,103.36)	0.00 0.00 0.00	
LOUISIANA LCL GOV ENV FC-CDARY AMBAC PRF17 NOV07 04.500%NOV01 2023	546279SS0		05/01/17 11/01/17 12/31/17	Interest Interest Annual Bond Premium	562.50 562.50 (1,040.37)	0.00 0.00 0.00	
LIVINGSTON TWP NJ SCH DT SCH GTD OLD NPFPG PRF17 OCT07 04.500%JUL15 2037	539158ES0		01/17/17 07/17/17 12/31/17	Interest Interest Annual Bond Premium	4,500.00 4,500.00 (8,692.71)	0.00 0.00 0.00	
NAZARETH PA AREA SCH DT LTD LT SCH GTD EBD PRF17 MAY09 05.000%NOV15 2031	639438UM0		05/15/17 12/31/17	Interest Annual Bond Premium	250.00 (236.46)	0.00 0.00	
LAURENS NY CENT SCH DIST SCH GTD AGC PRF17 JUN08 04.000%JUN15 2020	519174CG9		06/15/17 12/31/17	Interest Annual Bond Premium	800.00 (555.43)	0.00 0.00	
NEW JERSEY ST EDL FACS AUTH REV D NPFPG PRF17 APR07 05.000%JUL01 2024	646065DM1		01/03/17 07/03/17 12/31/17	Interest Interest Annual Bond Premium	1,500.00 1,500.00 (2,798.84)	0.00 0.00 0.00	
DUTCHESS NY WTR-WWTR AT SVC RV RF EBD PRF17 JUN07 04.500%OCT01 2036	26704TCN8		04/03/17 10/02/17 12/31/17	Interest Interest Annual Bond Premium	1,237.50 1,237.50 (2,352.65)	0.00 0.00 0.00	
NEW YORK NY CITY TFA REV PRF17 FEB07 04.000%NOV01 2018	64971WCVO		05/01/17 12/31/17	Interest Annual Bond Premium	700.00 (651.62)	0.00 0.00	
Security Subtotal					48.36	0.00	

ROGER D BARRIS TTEE

2017 TAX REPORTING STATEMENT

2017 REPORTABLE TAX-EXEMPT INCOME

Security Description	CUSIP	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
CONNECTICUT ST HEALTH EFA REV SER I NPF6 PRF17 DEC07 05.000%JUL01 2020	20774USL0		01/03/17 07/03/17 12/31/17	Interest Interest Annual Bond Premium	1,250.00 1,250.00 (2,259.05)	0.00 0.00 0.00	
MINISINK VY CENT SCH DT NY SCH GTD AGM PRF17 DEC07 04.250%JUN15 2020	603670PU8		06/15/17 12/31/17	Interest Annual Bond Premium	318.75 (302.85)	0.00 0.00	
LOUISIANA LCL GOV ENV FC-CDARV OLD AMBAC PRF17 JAN08 04.500%DEC01 2027	546279X62		06/01/17 12/01/17 12/31/17	Interest Interest Annual Bond Premium	900.00 900.00 (1,864.17)	0.00 0.00 0.00	
HOUSTON TEX HGHR ED FIN CRP RV RICE A OLD PRF17 JUN07 04.500%MAY15 2042	442378CZ6		05/15/17 12/31/17	Interest Annual Bond Premium	1,125.00 (1,017.85)	0.00 0.00	
ALBANY NY IDA CIVIC FAC REV ST B RF OLD PRF17 JAN08 05.250%NOV15 2027	012440KE2		05/15/17 11/15/17 12/31/17	Interest Interest Annual Bond Premium	525.00 525.00 (960.08)	0.00 0.00 0.00	
PERTH AMBOY NJ GUAR AGM PRF17 MAY07 05.000%JUL01 2035	715382G76		01/03/17 07/03/17 12/31/17	Interest Interest Annual Bond Premium	2,250.00 2,250.00 (2,186.83)	0.00 0.00 0.00	
NEW JERSEY ECONOMIC DEV AUTH REV SER U PRF17 OCT07 05.000%SEP01 2037	6459187C1		03/01/17 09/01/17 12/31/17	Interest Interest Annual Bond Premium	1,375.00 1,375.00 (2,576.24)	0.00 0.00 0.00	
UNIV CINCINNATI OH GEN RCPTS G RE NPF6 PRF17 DEC07 05.000%JUN01 2024	914119LT7		06/01/17 12/01/17 12/31/17	Interest Interest Annual Bond Premium	375.00 375.00 (681.17)	0.00 0.00 0.00	
				Security Subtotal	68.83	0.00	

Account No.

Taxpayer No.
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ROGER D BARRIS TTEE

2017 TAX REPORTING STATEMENT

2017 REPORTABLE TAX-EXEMPT INCOME

Security Description	CUSIP	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
NISKAYUNA NY CENT SCH DT RF SCH GTD OID AGC PRF17 APR08 04.000%APR15 2023	654727EU6		04/17/17 12/31/17	Interest Annual Bond Premium	800.00 (681.89)	0.00 0.00	
Security Subtotal							
					118.11	0.00	
NEW JERSEY HLTH CRE FFA REV SER 1 OID PRF17 APR07 04.250%MAR01 2023	64579F5T6		03/01/17 12/31/17	Interest Annual Bond Premium	318.75 (304.74)	0.00 0.00	
Security Subtotal							
					14.01	0.00	
WASH MULTNOMAH-YAMHLL OR SD#1 J NPF G PRF17 DEC06 05.000%JUN15 2019	939307EM2		06/15/17 12/31/17	Interest Annual Bond Premium	500.00 (425.21)	0.00 0.00	
Security Subtotal							
					74.79	0.00	
MINNESOTA ST UNREFUNDED BALANCE PRF17 AUG07 05.000%AUG01 2025	60412ACH4		02/01/17 08/01/17 12/31/17	Interest Interest Annual Bond Premium	625.00 625.00 (1,153.86)	0.00 0.00 0.00	
Security Subtotal							
					96.14	0.00	
LEANDER TEX INDPT SCH DIST RF PRF17 MAY08 05.000%AUG15 2033	521841JU1		02/15/17 08/15/17 12/31/17	Interest Interest Annual Bond Premium	1,125.00 1,125.00 (2,100.39)	0.00 0.00 0.00	
Security Subtotal							
					148.61	0.00	
MURRIETA VY CALIF UNI SCH DIST LT AGM PRF17 JAN07 05.000%SEP01 2020	626906GX5		03/01/17 09/01/17 12/31/17	Interest Interest Annual Bond Premium	1,000.00 1,000.00 (1,816.77)	0.00 0.00 0.00	
Security Subtotal							
					183.23	0.00	
PANHANDLE TEX INDPT SCH DIST SCH BLDG PRF17 AUG07 05.500%FEB15 2029	698482BU9		02/15/17 08/15/17 12/31/17	Interest Interest Annual Bond Premium	2,750.00 2,750.00 (5,227.40)	0.00 0.00 0.00	
Security Subtotal							
					272.60	0.00	
MARYLAND ST HLTH-HIGHER EFA RV AGC PRF17 JAN08 05.000%JUL01 2022	574218YY3		01/03/17 07/03/17 12/31/17	Interest Interest Annual Bond Premium	375.00 375.00 (618.35)	0.00 0.00 0.00	
Security Subtotal							
					130.65	0.00	